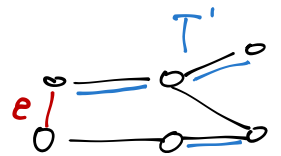


# Analysis of Kruskal's algorithm

Proof of Lemma 3.1: Let  $e \in E$  and  $f \in C_T(e)$ . Assume by contradiction that  $d(e) < d(f)$ . Let  $T' \subseteq T$  be the set of edges that were added before  $f$ . Because  $C_T(e)$  is unique cycle in  $T$ ,  $T' \cup \{e\} \subseteq T \cup \{e\} \setminus \{f\}$  contains no cycle. So greedy algorithm would have chosen  $e$  instead of  $f$ .  $\downarrow$

## Proof of Theorem 3.3:

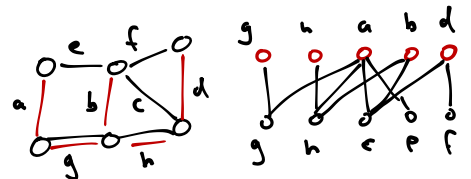
Plan:  $\sum_{f \in T} d(f) \leq \sum_{f \in T} d(e_f)$   
 $\leftarrow e_f \in T^*$  with  $f \in C_T(e_f)$



Let  $T^*$  be an MST. Construct bipartite graph

$$H = (T \cup T^*, \tilde{E})$$

with  $\tilde{E} = \{\{e, f\} : e \in T^*, f \in C_T(e)\}$



Claim:  $H$  contains a matching  $M$  covering  $T$ ,  $M = \{\{f, e_f\} : f \in T, e_f \in T^*\}$   
 with  $f \in C_T(e_f)$

By swap optimality:  $d(e_f) \geq d(f) \quad \forall f \in T$

Thus  $\sum_{f \in T} d(f) \leq \sum_{f \in T} d(e_f) \leq \sum_{e \in T^*} d(e) = \text{OPT.} \quad \square$

$$M \text{ covers } T \quad \boxed{f \neq f' \Rightarrow e_f \neq e_{f'}}$$

Proof of Claim We use Hall's Theorem:

$H = (A \cup B, \tilde{E})$  contains a matching covering  $A$  iff

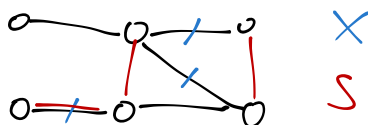
$|\Gamma(X)| \geq |X|$  for all  $X \subseteq A$ . ( $\Gamma(X) := \{b \in B : \{a, b\} \in \tilde{E} \text{ for some } a \in X\}$ )

Let  $X \subseteq T$ .  $T \setminus X$  contains no cycles and  $T^*$  connects  $V$ .

$\Rightarrow \exists S \subseteq T^* : T \setminus X \cup S$  is a spanning tree

$$|T \setminus X| + |X| = |T| = |V| - 1 = |T \setminus X \cup S| \leq |T \setminus X| + |S| \Rightarrow |X| \leq |S|$$

We show  $S \subseteq \Gamma(X)$ . Let  $e \in S$ . The tree  $T \setminus X \cup S$  does not contain a cycle. In particular, it does not contain  $C_T(e)$ , i.e., there is  $f \in C_T(e) \setminus (T \setminus X \cup S) \subseteq X \Rightarrow e \in \Gamma(X) \square$



## Scheduling on Parallel Identical Machines (P||C<sub>max</sub>)

Two lower bounds

$$\text{load}_\sigma(i) := \sum_{j: \sigma(j)=i} p_j$$

Lemma  $\text{OPT} \geq \max_{j \in [n]} p_j$       Lemma  $\text{OPT} \geq \frac{1}{m} \sum_{j=1}^n p_j$

## Analysis of Local Search for P||C<sub>max</sub> (Thm. 3.4)

Let  $i^* \in \arg \max_{i \in [m]} \text{load}_\sigma(i)$  and let  $j^*$  with  $\sigma(j^*) = i^*$ .

By local optimality:  $\text{load}_\sigma(i) + p_{j^*} \geq \text{load}_\sigma(i^*) \quad \forall i \in [m]$

$$\Rightarrow \sum_{j \in [n]} p_j = \sum_{i \in [m]} \text{load}_\sigma(i) \geq m \cdot (\text{load}_\sigma(i^*) - p_{j^*})$$

$$\text{Hence: ALG} = \underbrace{\text{load}_\sigma(i^*)}_{\leq \frac{1}{m} \sum_{j \in [n]} p_j} - \underbrace{p_{j^*}}_{\leq \max_{j \in [n]} p_j} \leq 2 \text{OPT}$$

\*Running time: Needs a slightly different variant, see book.  $\square$

## Analysis of List Scheduling (Thm. 3.5)

Let  $i^* \in \operatorname{argmax} \operatorname{load}_\sigma(i)$  and let  $j^* \in [n]$  be the last job that was assigned to  $i^*$ .

When  $j^*$  was assigned to  $i^*$ , the load of  $i^*$  was minimal.

Thus  $\operatorname{load}_\sigma(i^*) - p_{j^*} \leq \operatorname{load}_\sigma(i)$  for all  $i \in [m]$ .

Rest as before.  $\square$

## Analysis of LPT List Scheduling (Thm. 3.6)

Let  $i^* \in \operatorname{argmax} \operatorname{load}_\sigma(i)$  and let  $j^*$  be the last job assigned to  $i^*$ . W.l.o.g.:  $j^* = n$  (removing jobs with  $p_j \leq p_{j^*}$  does not change ALG and does not increase OPT).

If  $p_n = \min_{j \in [n]} p_j > \frac{1}{3} \operatorname{OPT}$  then  $\operatorname{ALG} = \operatorname{OPT}$  (Exercise 2.2).

If  $p_n \leq \frac{1}{3} \operatorname{OPT}$ , then

$$\operatorname{ALG} = \operatorname{load}_\sigma(i^*) \leq \underbrace{\frac{1}{m} \sum_{j \in [n]} p_j}_{\text{as before}} + p_n \leq \operatorname{OPT} + \frac{1}{3} \operatorname{OPT}. \quad \square$$

## Greedy Algorithm for k-CENTER

Proof of Thm. 3.7: For  $v \in V$ , let  $c^*(v) \in \operatorname{argmin} d(v, S^*)$ .

Case 1:  $\forall w \in S^* \exists v \in S : c^*(v) = w$

Let  $u \in V$  and  $w = c^*(u)$ . Let  $v \in S$  with  $c^*(v) = w$ .

Then  $d(u, S) \leq d(u, v) \leq d(u, w) + d(w, v) \leq 2 \operatorname{OPT}$ .

Case 2:  $\exists v, v' \in S : c^*(v) = c^*(v') =: w$

Then  $\operatorname{ALG} \leq d(v, v') \leq d(v, w) + d(v', w) \leq 2 \operatorname{OPT}. \quad \square$   
 $\uparrow$  by greedy choice